# Endoplasmic Reticulum Enrollment in Alzheimer's Disease

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Received: 18 May 2012 / Accepted: 5 July 2012 / Published online: 20 July 2012 © Springer Science+Business Media, LLC 2012

**Abstract** Alzheimer's disease (AD) poses a huge challenge for society and health care worldwide as molecular pathogenesis of the disease is poorly understood and curative treatment does not exist. The mechanisms leading to accelerated neuronal cell death in AD are still largely unknown, but accumulation of misfolded diseasespecific proteins has been identified as potentially involved. In the present review, we describe the essential role of endoplasmic reticulum (ER) in AD. Despite the function that mitochondria may play as the central major player in the apoptotic process, accumulating evidence highlights ER as a critical organelle in AD. Stress that impairs ER physiology leads to accumulation of unfolded or misfolded proteins, such as amyloid \( \beta \) (Aβ) peptide, the major component of amyloid plagues. In an attempt to ameliorate the accumulation of unfolded proteins, ER stress triggers a protective cellular mechanism, which includes the unfolded protein response (UPR). However, when activation of the UPR is severe or prolonged enough, the final cellular outcome is pathologic apoptotic cell death. Distinct pathways can be activated in this process, involving stress sensors such as the JNK pathway or ER chaperones such as Bip/GRP94, stress modulators such as Bcl-2 family proteins, or even stress effectors such as caspase12. Here, we detail the involvement of the ER and associated stress pathways in AD and discuss potential therapeutic strategies targeting ER stress.

**Keywords** Amyloid  $\beta$  · Caspases · Chaperones · JNK · Tauroursodeoxycholic acid

## **Abbreviations**

RyR

**UPR** 

**UPS** 

XBP1

TRAF2

**TUDCA** 

Alzheimer's disease AD **AICD** Amyloid precursor protein intracellular domain APP Amyloid precursor protein ASK1 Apoptosis signal-regulating kinase **ATF** Activating transcription factor Αβ Amyloid B **BACE** β-site of APP cleaving enzyme Bcl-2 B-cell leukemia/lymphoma 2 **CHOP** C/EBP homologous protein  $eIF2\alpha$ Eukaryotic translation initiation factor  $2\alpha$ ER Endoplasmic reticulum **ERAD** Endoplasmic reticulum-associated degradation **GRP** Glucose-regulated protein GSK-3B Glycogen synthase kinase-3 β IRE1 Inositol-requiring kinase 1 **JNK** c-Jun N-terminal kinase **MAPK** Mitogen-activated protein kinase **MVB** Multivesicular body **NFT** Neurofibrillary tangle **PERK** Protein kinase-like endoplasmic reticulum kinase PS<sub>1</sub> Presenilin-1

Ryanodine receptor

Receptor-associated factor 2

Tauroursodeoxycholic acid

Unfolded protein response

X-box-binding protein 1

Ubiquitin-proteasome system

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#### Introduction

Alzheimer's disease (AD) is a fatal progressive neurodegenerative illness and the most common form of dementia. It is, therefore, a fundamental disorder of cognitive awareness, integrating reasoning, abstraction, language, and memory, one of the defining components of human consciousness [1]. AD is characterized by two main neuropathological hallmarks, including extracellular deposits, known as amyloid or "senile" plaques, and intracellular neurofibrillary tangles (NFTs) [2]. The chief component of intracellular NFTs is tau, a microtubule-associated protein abundant in six different isoforms in the adult brain. Physiologically, tau is a soluble protein present in axons that promotes assembly and stability of microtubules, which are important for vesicle transport. On the contrary, hyperphosphorylated tau, the major form found in AD, is insoluble, lacks affinity for microtubules, and self-associates into paired, helically wound fragments, 10 to 20 nm in diameter, which associate to give insoluble tangles in nerve cell bodies and dendrites [2, 3].

Amyloid plaques are primarily composed of a 4.3-kDa amyloid  $\beta$  (A $\beta$ ) peptide. The A $\beta$  peptide is a 39–43 amino acid sequence that forms extraneuronal aggregates with a fibrillar, β-pleated structure. Aβ peptide accumulation can be found both in the brain parenchyma and blood vessels. Aβ is cleaved from amyloid precursor protein (APP) (Fig. 1), a 695-770 amino acid transmembrane protein found in virtually all peripheral and brain cells [4]. Although there is no conclusive evidence of the function of APP, an increasing body of data suggest its involvement in regulating neurite outgrowth, synaptic plasticity, and cell adhesion [5]. APP is normally cleaved within the A $\beta$  domain by  $\alpha$ secretase, originating a soluble N-terminal fragment  $(sAPP\alpha)$  and a membrane-bound C-terminal fragment (C83). Alternatively, APP can be cleaved by β-secretase at the N-terminus of the Aβ domain, yielding sAPPβ and C99. The latter membrane-bound fragment then undergoes intramembrane cleavage by  $\gamma$ -secretase at the C-terminus of A $\beta$ , resulting in the release of A $\beta$  into the cell [6]. The secretion of Aß follows, allowing the peptide to participate in extracellular aggregation and to become incorporated into growing plaques. Importantly, although the classical view is that Aß is deposited extracellularly, emerging evidence from transgenic mice and human patients indicates that this peptide can also accumulate intraneuronally, which may contribute to disease progression [7].

The  $\beta$ -site of APP cleaving enzyme (BACE1) is responsible for the  $\beta$ -secretase activity, whereas  $\gamma$ -secretase is composed of four essential subunits, including presenilin-1 (PS1) or presenilin-2 (PS2), together with nicastrin, anterior pharynx-defective 1 (APH-1), and presenilin enhancer 2 (PEN-2) [8]. The  $\gamma$ -secretase complex

cleaves at multiple sites within the transmembrane domain of APP, generating A $\beta$  peptides ranging in length from 38 to 42 residues [9]. Nearly 90 % of secreted A $\beta$  ends at residue 40, giving A $\beta$ 40, whereas A $\beta$ 42 accounts for only less than 10 %, and peptides ending at residues 38 are minor components [8, 10]. Importantly, a strict relationship between endoplasmic reticulum (ER) and amyloid secretases has been widely described. Immunohistochemical analyses indicate that PS1 and PS2 are localized to similar intracellular compartments, which include the ER and Golgi complex [11].

The ER fulfills multiple cellular functions (reviewed in [12]). The lumen of the ER is an exceptional compartment, holding the highest concentration of Ca<sup>2+</sup> within the cell, due to active transport of Ca<sup>2+</sup> ions by Ca<sup>2+</sup> ATPases (Fig. 1). In addition, the lumen is an oxidative environment, important for generation of disulfide bonds and proper folding of proteins destined for secretion or for display at the cell surface. Because of its role in protein folding and transport, the ER is also rich in Ca<sup>2+</sup>-dependent molecular chaperones, such as 78-kDa glucose-regulated protein (GRP78), also known as Bip (GRP78/Bip), 94-kDa glucose-regulated protein (GRP94), and calreticulin, which stabilize protein folding intermediates (reviewed in [13]). Importantly, Ca<sup>2+</sup> is a vital second messenger associated with the most fundamental molecular pathways within the cell. Thus, its intracellular free levels are tightly regulated by the ER to avoid cell death induced by intracellular Ca<sup>2+</sup> dysregulation. GRP94 has been extensively linked to cellular Ca<sup>2+</sup> homoeostasis [14]. One of the major characteristics that GRP94 shares with other ER stress proteins is that its expression is induced through a transcriptional feedback loop [15], when cells are challenged with Ca<sup>2+</sup> ionophores [16]. In addition, GRP94 binds Ca<sup>2+</sup> and is one of approximately six luminal proteins that serve as the major  $Ca^{2+}$  buffers of the ER [14, 17, 18].

ER stress leads to the activation of several kinases [19] that have profound functional effects on neuronal homeostasis [20, 21]. The ER stress pathway mediated by inositol-requiring kinase 1 (IRE1) activates apoptosis signal-regulating kinase 1 (ASK1), which subsequently can trigger c-Jun N-terminal kinase (JNK) signaling (reviewed in [22]). ASK1-mediated JNK activation has the potential to incite AD pathogenesis [23], through: (i) regulation of APP processing and accumulation of intracellular Aß [24, 25]; (ii) potentiation of inflammatory responses via activating protein-1 (AP-1) activation [26]; and (iii) phosphorylation of tau protein and aggregation of NFTs [27, 28]. In this review, we will summarize the current knowledge on mechanisms involving or mediated through the ER that may contribute to AD pathogenesis.



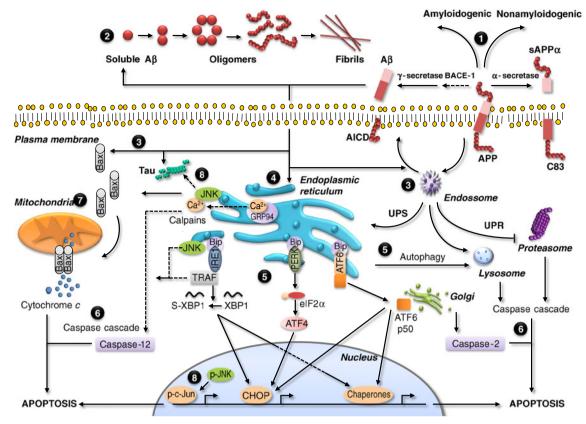


Fig. 1 ER stress in AD. (1) APP trafficking, maturation, and processing. After synthesis, APP enters the ER, followed by the Golgi complex, and is transported via the constitutive secretory pathway to the cell membrane (not shown). After reaching the plasma membrane, APP is rapidly internalized and either transported by endocytic and recycling vesicles in a reverse way to the cell surface or degraded in the lysosome. Amyloidogenic processing involves passage through the endocytic organelles, where APP colocalizes with  $\beta$ - and  $\gamma$ -secretases. Nonamyloidogenic processing of APP results from sequential cleavage by membranebound  $\alpha$ - and  $\gamma$ -secretases.  $\alpha$ -Secretase cleaves within the A $\beta$  sequence, thus preventing the generation of intact A $\beta$  peptide. sAPP $\alpha$  ectodomain is secreted to extracellular milieu and C83 is released. Alternatively, amyloidogenic processing starts by β-secretase cleavage at the Nterminus of AB, releasing a shorter sAPPB and C99 fragments (not shown). The C99 fragment is subsequently cleaved within the transmembrane domain by  $\gamma$ -secretase to originate A $\beta$  and AICD. AICD is targeted to the nucleus, signaling transcription activation. (2)  $A\beta$  assembly states. Soluble  $A\beta$  is a hydrophobic peptide that is prone to aggregation. Equilibrium is produced between several extracellular and intracellular Aß species, including monomeric, oligomeric, and fibrillar forms. All these species originate toxicity through several mechanisms, including microglial infiltration, generation of ROS, and synaptic damage. (3) Pathological intracellular  $A\beta$ .  $A\beta$ , processed either intra- or extracellularly, exerts several toxic effects on organelle and cell function. Intracellular AB may contribute to AD pathology by stimulating tau hyperphosphorylation, disrupting proteasome and mitochondria

function, and triggering calcium deregulation. (4) Deregulation of intracellular Ca<sup>2+</sup>. Inside the ER, Ca<sup>2+</sup> is maintained elevated by Ca<sup>2+</sup>binding buffering proteins, such as GRP94. Ca2+ release from the ER occurs through inositol triphosphate receptors (InsP3Rs or IP3Rs) and ryanodine receptors (RyRs). (5) ER protein quality control system. To eliminate misfolded proteins, cells use intracellular, ER-driven protein catabolism mechanisms, namely, the UPS and the autophagy-lysosome pathway or autophagy. ER stress triggers several survival pathways, including UPR that operates to mitigate ER stress via: (i) increasing the expression of chaperone proteins to enhance folding capacity, (ii) inhibiting protein translation via eIF2α, and (iii) promoting the degradation of misfolded proteins through UPS and/or autophagy. Nevertheless, if the response is unable to rescue cells, the ER stress will trigger pathways leading to cell death, including caspase-mediated apoptosis. (6) Caspase cascade. Caspase-12 can be activated via IRE1-TRAF2 complex or Ca<sup>2+</sup>activated protease calpain during ER stress-induced apoptosis. Aßinduced cell death requires the activation of caspase-2, which is localized at the Golgi complex and has been described as a target of the JNK pathway that triggers apoptosis through activation of the mitochondrial pathway. (7) Mitochondrial apoptotic pathway. Aß and activated JNK trigger Bax translocation to the mitochondria, formation of pore complex, cytocrome c release, and subsequent apoptosis. (8) JNK activation. JNK phosporylates tau and triggers its aggregation in NFTs. In addition, JNK activates the proapoptotic transcription factor c-Jun, enhancing the expression of apoptosis proteins

## The ER Stress

Disturbances in ER function or its loss of integrity leads to ER stress, which may be caused by accumulation of unfolded proteins or changes in Ca<sup>2+</sup> homeostasis within the ER

[29]. Most neurodegenerative disorders, including AD, are associated with aggregation of misfolded proteins (reviewed in [30]). When viable proteins acquire pathological conformations, physiological functions in the cell are affected, which often culminates in cell death. Pathogenic pathways



involve membrane permeabilization through either a channel mechanism or hydrophobic interaction of prefibrillary oligomers with cellular targets [31].

To eliminate misfolded proteins, cells can activate a large number of intracellular proteases and chaperones, which integrate the ER protein quality control system. The two principal routes of intracellular protein catabolism are the ubiquitin-proteasome system (UPS) and the autophagylysosome pathway or autophagy [32]. Both degradation systems incorporate a global process known as ERassociated degradation (ERAD) [33]. In the UPS, ER aberrant proteins are exported to the cytosol and targeted for degradation by covalent attachment of ubiquitin, which is mediated by an enzymatic cascade reaction. The ubiquitin-conjugated proteins are subsequently degraded by a large multisubunit complex, the 26S proteasome [34]. In autophagy, cytoplasmic proteins and/or dysfunctional organelles are sequestered in a double membrane-bound vesicle, termed autophagosome, delivered to the lysosome by fusion and then degraded [35, 36]. Both pathways were described as having a dual role in nervous system homeostasis, including both protection and degeneration [31].

In parallel with ERAD, increased levels of aberrant proteins in the ER activate the unfolded protein response (UPR), a stress response aimed to restore proteostasis in the ER (Fig. 1). Initially cytoprotective, the UPR will trigger a typical apoptotic cascade if the cellular insult is not efficiently removed, representing the last resort of multicellular organisms to dispense dysfunctional cells. The UPR is essential for nonlysosomal degradation and clearance of altered proteins that have the potential to induce cellular damage [37]. It is characterized by the coordinated activation of multiple ER-resident sensors, including doublestranded ribonucleic acid-activated protein kinase-like ER kinase (PERK), IRE1, and activating transcription factor 6 (ATF-6). Once activated, these proteins trigger signaling events, such as increased expression of ER chaperones, inhibition of protein entry into the ER, blockage of mRNA translation, and acceleration of altered protein export from the ER to the cytosol for ubiquitination and proteasomemediated degradation through the UPS (reviewed in [38]). Normally, the N-termini of these transmembrane ER proteins are held by ER chaperone GRP78/Bip, preventing their activation. However, when misfolded proteins accumulate in the ER, GRP78/Bip is released, allowing activation of these signaling proteins, and launching the UPR [12]. The release of GRP78/Bip permits IRE1 to dimerize, activating both its protein kinase activity through autophosphorylation, and ribonuclease activity. IRE1 dimer binds tumor necrosis factor receptor-associated factor 2 (TRAF2), activating ASK1 and downstream kinases that, in turn, activate p38 mitogen-activated protein kinase (MAPK) and JNK. In addition, through its ribonuclease activity, IRE1 removes a 26-base intron from X-box-binding protein 1 (XBP1) mRNA. The spliced XBP1 mRNA encodes a potent transcription factor that, following translocation to the nucleus, activates the expression of genes involved in the reestablishment of protein folding or in the degradation of unfolded proteins. The release of GRP78/Bip also results in the activation of PERK, through PERK homodimerization and trans-autophosphorylation. Activated PERK then phosphorylates the PERK-eukaryotic translation initiation factor 2α (eIF2α), reducing global mRNA translation, while favoring the translation of selected mRNAs, such as ATF-4 mRNA. ATF-4 activates the transcription of UPR target genes encoding factors involved in restoring ER homeostasis, via amino acid biosynthesis, antioxidative stress response, apoptosis, and autophagy. In contrast to PERK and IRE1, release of Bip from ATF-6 induces its translocation to the Golgi complex where it is processed by Site-1 (S1P) and Site-2 (S2P) proteases to generate ATF- $6\alpha$ . This fragment migrates to the nucleus, where it activates the transcription of genes mainly involved in ERAD and ER homeostases. Upon severe ER stress, ATF-4, XBP1, and ATF-6 can increase the expression of the proapoptotic transcription factor C/EBP homologous protein (CHOP), which mediates apoptosis by upregulating the proapoptotic BH3-only protein Bim and by suppressing B cell leukemia/lymphoma 2 (Bcl-2) expression. Moreover, CHOP activity is enhanced through phosphorylation by p38 MAPK. In turn, JNK phosphorylation activates Bim, while inhibiting Bcl-2 functions [37].

Importantly, many pathophysiological events of AD associate ER stress with disease progression, including APP subcellular trafficking, maturation, and processing, pathological intracellular Aβ, deregulation of intracellular Ca<sup>2+</sup>, caspase-12 activation, and JNK activation, among others. As a protective cellular mechanism triggered by increased levels of misfolded proteins, the UPR may be crucial in AD pathogenesis. One arm of this pathway results in the transient shutdown of protein translation, through phosphorylation of eIF2α. Activation of the UPR and/or increased phosphorylated eIF2 $\alpha$  levels are seen in patients with neurodegenerative diseases, including AD [39-42], but how this links to neurodegeneration has only recently been uncovered [43]. In fact, it has been shown that accumulation of prion protein during prion replication causes persistent translational repression of global protein synthesis by phosphorylated eIF2 $\alpha$ , associated with synaptic failure and neuronal loss in prion-diseased mice. Given the prevalence of protein misfolding and activation of the UPR in several neurodegenerative diseases, these results suggest that manipulation of common pathways such as translational control, rather than disease-specific approaches, may lead to new therapies preventing synaptic failure and neuronal loss across the spectrum of these disorders.



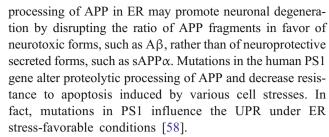
## APP Subcellular Trafficking, Maturation, and Processing

APP is a transmembrane protein that is folded and modified in the ER and then transported through the Golgi complex to the outer plasma membrane. In both neuronal and nonneuronal cells, APP is recognized to be transported through the secretory pathway, a continuum transport in separate membrane-enclosed organelles that ultimately reach the cell surface (Fig. 1). Throughout this secretory transport, post-translational modifications of the newly synthesized APP proteins are prone to occur, which may influence APP cleavage and A $\beta$  production. APP processing takes place in various organelles, during its normal secretory pathway, and also at the cell surface. However, it is still not completely understood which cellular compartments process APP to toxic A $\beta$  peptides [44].

Most APP processing occurs after complete maturation of the protein, even though some immature APP may also be cleaved by secretases at a low rate in the ER or the cis-Golgi complex subcellular compartments. Mature APP is processed rapidly, with turnover of ~30-45 min, as it is transported to or from the cell surface via the secretory or endocytic pathways, respectively [45–47]. Interestingly, only small amounts of APP are detected at the cell surface when compared to the total cellular pool [46]. This is the consequence of rapid removal of APP from the cell surface. APP half-life was reported to be shorter than 10 min [48], either by APP proteolytic cleavage or endocytosis. Around 30 % of cell surface APP is processed to sAPP and secreted [45], while the remaining cell surface C-terminal fragments (CTFs) may be cleaved by  $\gamma$ -secretase locally or through the endocytic pathway in endosomes, or further degraded in lysosomes [49]. Both cell surface CTF cleavage product and unprocessed full-length APP are reinternalized through coated pits and vesicles by receptor-mediated endocytosis [47]. If endocytosed, internalized APP half-life is ~30 min [45], with a pool of endosomal APP being delivered to lysosomes for degradation.

APP processing during its trafficking through the different subcellular organelles can originate several APP fragments [50]. However, in addition to A $\beta$ , the physiological or pathophysiological roles of other APP-derived protein fragments cleaved by  $\beta$ - and  $\gamma$ -secretase remain largely unknown. Recent studies elucidated some of the molecular mechanisms for the APP intracellular domain (AICD), the short APP C-terminal region which is generated by  $\gamma$ - and/ or  $\varepsilon$ -secretase cleavage [51]. AICD overexpression has been shown to directly induce apoptosis or to sensitize cells to stress-induced apoptosis [52–56]. Moreover, it has recently been shown that AICD specifically sensitizes cells to ER stress-induced cell death [57].

Altered APP metabolism appears to be a key event in the pathogenesis of AD. Abnormal trafficking, maturation, and



Importantly, recent studies strongly suggest that accumulated intracellular  $A\beta$  oligomers can be transmitted neuron to neuron via direct neuritic connections [59]. The mechanism of transmission may involve the lysosomal–endosomal system; however, further studies are needed to confirm this mechanism.

## Pathological Intracellular Aβ

There is substantial evidence from transgenic mouse models that intracellular Aß initiates cellular dysfunction, before it accumulates in extracellular plaques [60]. Moreover, a recent study characterizing intracellular accumulation of AB in AD patients concluded that intracellular AB was abundantly present but did not correlate with plaque load or NFT formation [61]. In addition, the disease-associated isoform Aβ42 seems to be more prone to intracellular accumulation than A\beta 40. Also, intracellular A\beta occurs most frequently in the hippocampus and entorhinal cortex, which are the brain regions affected first in AD [62]. Expression of apolipoprotein E-allele 4 (APOE-ε4), the major genetic risk factor for sporadic AD, also increases intracellular Aß [63]. Within neurons, Aβ42 seems to localize in multivesicular bodies (MVBs), which are considered late endosomes and are generated from the early endosome system. Immunogold electron microscopy in the brains of AD patients demonstrated A\beta 42 localization on the external membrane of MVBs [64]. The accumulation of nonfibrillar Aβ within neuronal MVBs has also been shown in APP/PS1 double transgenic mice model of AD, with Aβ-containing MVBs being frequently observed in the perinuclear region [65]. Furthermore, neurons from APP/PS1 transgenic mice exhibited Aβ-positive granules within the perinuclear region of the cell body, which were largely double-labeled with the lysosomal-associated membrane protein 2 (LAMP2); cathepsin D, a lysosomal hydrolase; and MG160, a Golgi complex marker [65].

Recent studies have demonstrated that  $A\beta$  accumulation within MVBs is pathological, leading to disrupted MVB organization through impairment of the UPS [66]. Furthermore, inhibition of the proteasome by  $A\beta$  has been demonstrated in animal and cell culture models (Fig. 1) [66, 67]. On the other hand, proteasome inhibition in the 3xTg-AD mice resulted in oligomeric  $A\beta$  accumulation within neuronal cell bodies [68, 69]. In addition, proteasome inhibition,



both in vivo and in vitro, resulted in elevated  $A\beta$  levels, suggestive that the proteasome degrades  $A\beta$  and that  $A\beta$  must be within the cytosolic compartment for this degradation to occur [44]. These findings suggest that oligomeric  $A\beta$  accumulation within neuronal cell bodies has pathological consequences, including proteasome impairment.

A large body of evidence indicates that the accumulation of intracellular  $A\beta$  induces the expression of ER stress markers. Immunohistochemical studies in postmortem brain samples from AD patients indicated the presence of neuronal staining for phosphorylated (activated) UPR kinases, such as PERK and IRE1 [41]. These proteins were found clearly upregulated in hippocampal neurons, particularly in cells containing granulovacuolar degeneration. Interestingly, pPERK-positive neurons also exhibited abundant glycogen synthase kinase-3 $\beta$  (GSK-3 $\beta$ ) staining. This is a relevant observation, since it points out that ER stress may trigger the expression of GSK-3 $\beta$ , a well-known tau kinase involved in NFT formation [70].

Nonetheless, the concept that  $A\beta$  is an incidental catabolic toxic waste resulting from APP processing has been challenged. Recent studies suggest a physiological role for  $A\beta$  as part of a response of the innate immune system, acting as anti-infective antimicrobial peptide (AMP) agent [71]. The exact mechanism is not fully understood; however,  $A\beta$  ability to associate with lipid bilayers may be crucial, resulting in either adsorption [72] or permeabilization [73]. Interestingly, oligomerization plays a key role in  $A\beta$  membrane targeting, and the pivotal importance of ER in this process (Fig. 1) is well-described. Future studies should further explore the relevance of ER modulation on  $A\beta$  anti-infective properties.

## Deregulation of Intracellular Ca<sup>2+</sup>

Disturbances in  $Ca^{2+}$  regulation can also induce the UPR and perturb cellular events that control cell fate (Fig. 1). Bcl-2 family proteins represent the strongest link between ER  $Ca^{2+}$  regulation and the cell death machinery, as several Bcl-2 proteins reside in the ER membrane and modulate ER  $Ca^{2+}$  homeostasis. In fact, Bcl-2 and B-cell leukemia/lymphoma extra long (Bcl- $x_L$ ) decrease basal  $Ca^{2+}$  concentrations in the ER, whereas Bcl-2-associated X protein (Bax) has an opposite effect [74].

Several studies have demonstrated an association between ER stress and disturbed  $Ca^{2+}$  homeostasis in AD pathogenesis [75]. In particular, PS1 mutations associated with familial AD lead to increased susceptibility to stressing agents and cause elevated levels of free  $Ca^{2+}$  in PC12 cells and hippocampal neurons from transgenic mice brains [76]. Moreover, mutant PS1-expressing cells show increased A $\beta$  production, altered  $Ca^{2+}$  homeostasis [77], and enhanced sensitivity to ER stressinduced apoptosis [29]. Similarly, PS1 mutant transgenic mice

display abnormalities in ER Ca<sup>2+</sup> regulation and increased neuronal vulnerability toward cell death and excitotoxic injury. It has also been demonstrated that mutant PS1 binds to and inhibits the UPR protein IRE1, suppressing activation of the UPR [58].

Interestingly, the brain of early AD patients shows increased expression of ER-resident ryanodine Ca<sup>2+</sup> channel receptors (RyR) [78], further linking AD to Ca<sup>2+</sup> dyshomeostasis. Using cellular and animal models of AD, mutant PS1 leads to elevated levels of the type 3 RyR (RyR3) in both PC12 cells and primary neurons [79]. Increased RyR3 isoform was also demonstrated in transgenic mice carrying three mutant AD genes (*APP*, *PS1*, and *tau*) [80, 81] and in transgenic mice expressing triple mutant APP [82]. More recently, the ER stress response factor XBP1 in its active, spliced form was reported as neuroprotective in different AD models by decreasing RyR3 isoform and preventing the accumulation of free Ca<sup>2+</sup> in the cytosol [83]. Thus, Ca<sup>2+</sup> dysregulation seems to play a key role as mediator of AD pathogenesis.

## Caspase-12 Activation

There is a growing list of mediators linking ER stress to the apoptotic machinery. Caspase-12 was proposed to function as the apical caspase responsible for initiating an apoptotic cascade in response to ER stress and Aß [84]. Studies to date suggest that the mechanism of ER stress-mediated caspase-12 activation involves the interaction of procaspase-12 with the IRE1-TRAF2 complex [85], but the significance of this interaction remains to be determined. Caspase-12 can also be activated by the calcium-activated protease calpain in settings of ER stress-induced apoptosis [86]. Further evidence linking ER stress and caspase-12 activation came from caspase-12 knockout mice primary cortical neurons, which showed reduced susceptibility towards ER stress. Accordingly, these neurons were resistant to Aβ-induced cell death [84], suggesting that ER stress and activation of caspase-12 may contribute to neuronal death in AD.

## JNK Stress Sensor

The activation of the JNK signaling pathway has been identified as a key event in AD-associated apoptosis. The IRE1/TRAF2/ASK1 pathway activates stress kinases (Fig. 1) [19, 22, 37, 87], which have deep functional effects on neuronal homeostasis [20, 21, 88]. ER stress activates ASK1, which subsequently triggers JNK and p38 MAPK signaling. However, evidence suggests that ER stress is not the only inducer of ASK1. In fact, A $\beta$  induces neuronal apoptosis through reactive oxygen species (ROS)-mediated ASK1 activation rather than via ER stress [89]. Nevertheless, ER stress can



activate ROS production via  $\text{Ca}^{2^+}$  mitochondrial signaling. he ASK1-mediated JNK pathway plays a key part in AD pathogenesis [23]. This stress signaling kinase can regulate APP processing [25] and control the phosphorylation state of APP at Thr668 site, which is important for both APP cleavage and degradation in physiological conditions. Inhibition of JNK-mediated phosphorylation of APP causes it to follow the proteasome degradation pathway [90]. JNK may also induce accumulation of intracellular A $\beta$  [24, 25], phosphorylate tau protein, and trigger aggregation of NFTs [27, 28]. Furthermore, JNK mediates the activation of several apoptotic molecules, including caspase-2 [91], p53 [92], and Bcl-2 family members [22, 93], and potentiates inflammatory responses via AP-1 activation [26].

## Autophagy

Histopathological analysis of AD brains showed accumulation of activated, phosphorylated JNK (pJNK) in granules within hippocampal pyramidal cells [94]. These granules often colocalize with granulovacuolar degeneration bodies (GVD) [94], which also contain GSK-3β [95], a recognized PERK target kinase. GVD are large cytoplasmic vacuoles [94] that result from autophagic vacuoles usually seen in AD [96]. Interestingly, it has been shown that excessive ER stress can induce autophagic uptake of accumulated material from the overloaded ER [97]. In fact, a recent study suggests that autophagy is the major degradation pathway following UPR activation in neuronal cells, highlighting a connection between UPR activation and autophagic pathology in AD brain [98].

## Tau Accumulation

Increased oxidative stress, impaired ER protein-folding function, and deficient proteasome-mediated and autophagic-mediated clearance of damaged proteins are all associated with the accumulation of  $A\beta$  and tau proteins in AD [40, 99]. Furthermore, recent research demonstrates that CHOP silencing [100] and UPR activation are intimately connected with the accumulation and aggregation of phosphorylated tau [41, 101].

JNK phosphorylates tau protein at Thr205 and Ser422, which are highly phosphorylated in AD [27] and trigger aggregation of NFTs [28]. Besides JNK, GSK-3β is a crucial kinase believed to have a central role in the hyperphosphorylation of tau present in NFTs [102]. In fact, it has been shown that phosphorylation of tau triggered by ER stress is mediated by GSK-3β, following activation by UPR signaling pathway [103, 104]. Importantly, both activated pJNK and pGSK-3β are present in pretangle accumulations of tau protein [105, 106]. Furthermore, recent data demonstrate that JNK can induce caspase cleavage of tau

protein and also that GSK-3 $\beta$  activation is required for tau aggregation [107].

## c-Jun Apoptotic Pathway

c-Jun, an immediate—early proapoptotic protein of the JNK pathway, was found to be colocalized with fragmented DNA in neurons [108]. Moreover, enhanced expression of the transcription factors c-Jun and c-Fos, increased levels of c-Jun mRNA, and phosphorylation of c-Jun on its N-terminal transactivation domain have all been observed in neuronal apoptosis [109]. Finally, A $\beta$  itself may induce activation of JNK and c-Jun [110]. Consistently, A $\beta$ -induced cell death is attenuated in cortical neurons from JNK3-null mice, while JNK3 mediates cell death through the activation of c-Jun and enhanced expression of apoptosis antigen-1 ligand (FasL) [110]. More recently, c-Jun has also been shown to be required for A $\beta$ -mediated degradation of antiapoptotic  $\Delta$ Np63 [111].

## Therapeutic Strategies Focused on ER Stress

Targeting neurodegeneration mediated by drugs that modulate ER stress mechanisms is still under evaluation. Screening studies with compounds that impair tunicamycininduced cell death in a neuronal cell line context highlighted the finding of salubrinal, a compound that prevents dephosphorylation of eIF2 $\alpha$ . Consequently, salubrinal increases eIF2α phosphorylation and activation, promoting stronger PERK responses [112]. Salubrinal was described to prevent neuronal cell death triggered by several ER stress inducers [113, 114]. Nevertheless, it was also shown that this compound impairs long-term memory in a mouse model [115], suggesting that its use would not be suitable for chronic therapies. Chemical inhibitors of ASK1 have also been suggested to be cytoprotective in neurodegenerative disorders [116]. Since JNK activation is observed downstream of ASK1, and JNK is known to activate Bid while inhibiting Bcl-2, it would be attractive to investigate whether chemical inhibitors of JNK might also show cytoprotective effects in such context [117].

An additional potential strategy for ameliorating ER stress induced by inclusion bodies is to stimulate autophagy, efficiently clearing insoluble protein aggregates from cells. Chemical screens for enhancers of autophagy have been reported, which have identified compounds that improve clearance of protein inclusions from cultured cells [118, 119]. Among the compounds purported to increase autophagy without signs of cellular toxicity are several drugs already approved by the US Food and Drug Administration. These include antipsychotics (such as fluspirilene, trifluoperazine, and pimozide) and Ca<sup>2+</sup>-channel modulators (such



as nicardipine, niguldipine, and amiodarone), acting through mechanisms that are distinct from that of rapamycin (a mammalian target of rapamycin (mToR) inhibitor) [118].

A different strategy proposed for removing insoluble protein inclusions is to increase chaperone activity in cells, especially cytosolic heat shock protein 70 (hsp70). It would be interesting to explore the efficacy of chemical chaperones that serve as ligands to stabilize protein structure and promote protein folding, analogous to what has been described for compounds such as SR121463A (1-[4-(Ntertbutyl carbamoyl)-2-methoxybenzene sulphonyl]-5-ethoxy-3-spiro-[4-(2-morpholinoethoxy)cyclohexane] indol-2-one, fumarate) [120] and others [121, 122]. In this regard, the ERchaperone cyclophilin B [123] and the chemical chaperone phenylbutyric acid [124] have recently been reported as neuroprotective against Aβ-induced toxicity in vitro and in vivo, respectively. Thus, previous studies are encouraging and suggest that targeting the cellular protein quality control system of the ER is an attractive strategy that warrants further exploitation for the treatment of neurodegenerative conditions associated with accumulation of damaged molecules within the cell.

Interestingly, endogenous bile acids, namely, the more hydrophilic molecule tauroursodeoxycholic acid (TUDCA), have been suggested to be strong neuroprotective molecules due to their antiapoptotic properties [125, 126]. Recently, these molecules have been recognized to modulate ER stress-mediated cell death mechanisms [127]. The antiapoptotic effects of TUDCA were firmly established in animal models of AD and cultured neurons incubated with A $\beta$  [128]. Similar results were seen in in vitro models of familial AD that consist of mouse neuroblastoma cells expressing APP with the Swedish mutation or double-mutated for human APP and PS1 [129].

It has been shown that Aβ-induced cell death requires the activation of caspase-2 [91]. Notably, TUDCA prevented caspase-2 activation in neuroblastoma PC12 cells [130]. Caspase-2 has been described as a target of the JNK pathway that triggers apoptosis through activation of the mitochondrial pathway. In this respect, we have shown that TUDCA strongly modulates the mitochondrial pathway, inhibiting Bax translocation triggered by Aß [131]. In addition, TUDCA abrogated Aβ-induced JNK/caspase-2 signaling [130]. A $\beta$  exposure resulted in activation of the early stress JNK pathway, leading to its nuclear translocation and activation of caspase-2 localized in the Golgi complex. Further investigations are warranted to elucidate the mechanism(s) by which TUDCA interferes with this signaling pathway. Finally, recent data has shown that TUDCA modulates Aβ-induced caspase-12-mediated apoptosis triggered at ER subcellular compartment, independently, however, of ER stress [127]. ER stress markers, including GRP94, ATF- $6\alpha$ , CHOP, and eIF2 $\alpha$ , were strongly downregulated by A $\beta$ , independently of protein degradation, and partially restored by TUDCA. Moreover, calpain inhibition prevented caspase-12 activation and ATF- $6\alpha$  downregulation [127].

TUDCA also mitigates the toxic downstream effects of Aß. In primary rat cortical neurons incubated with fibrillar A\(\beta\)42, TUDCA inhibited the levels of apoptosis and caspase-3 activation and abrogated caspase-3 cleavage of tau into toxic species [132]. Thus, by interfering with apoptotic pathways, both at the mitochondrial and transcriptional levels, TUDCA not only increased the survival of neurons but also prevented downstream abnormal conformations of tau. This might have beneficial consequences in slowing cognitive decline. In fact, recent evidence indicates that feeding APP/PS1 doubletransgenic mice with diet containing 0.4 % TUDCA for 6 months reduced accumulation of Aβ deposits in the brain, ameliorating learning and memory deficits [133]. TUDCA treatment was shown to decrease AB production and intracellular accumulation by reducing lipid metabolism mediators involved in overall amyloidogenic APP processing and AB load. Further, TUDCA effectively modulated excitatory synaptic deficits induced by Aß [134].

## Conclusion

In the present review, we have described the central role of ER in AD. Accumulating evidence highlights the key role of ER stress in AD pathogenesis. In fact, it has been suggested that apoptosis can be induced by the ER stress pathway, independently of mitochondria [135]. Moreover, it is also important to recognize that the ER is in a pivotal position to both respond to and cause dysfunction in other subcellular compartments, such as mitochondria, cytoplasm, and nucleus. Thus, it is common to associate ER stress response with multiple processes originating in other organelles, such as ATP depletion, oxidative stress, mitochondrial dysfunction, and lipid accumulation.

The ER bears a central position in AD etiology, inherent to presenilin location at ER membranes. APP trafficking, maturation, and processing, which ultimately lead to plaque formation are very much dependent on the ER. In fact, some studies advocate that plaque formation results from failure of the ER to catalyze the post-translational processing of  $A\beta$  [136]. Furthermore, there is an age-dependent decline in vital chaperones required for catalysis of this process in the ER [137]. Corroborating this idea, ER-resident molecular chaperones such as GRP78 and GRP94 are downregulated in the brains of AD patients and in PS1 mutant cells [58].

ER plays also an important role in  $Ca^{2+}$  intracellular signaling. As the principal reservoir of  $Ca^{2+}$ , the ER is very sensitive to changes in its homeostasis, ultimately leading to caspase-12 activation-mediated apoptosis upon  $Ca^{2+}$  deregulation.

Finally, the ER also mediates the sensing, activation, and modulation of pivotal signaling pathways that typically



occur in AD. The very best example is represented by the JNK stress pathway, which is intrinsically connected to both ER and AD. JNK stress sensor pathway is activated through ER-dependent kinases. Activated JNK is involved in a myriad of AD toxic mechanisms. JNK activates specific enzymes such as caspase-2, mediating apoptosis. In addition, JNK is not confined to the regulation of apoptotic cell death processes, but it also regulates autophagy. Moreover, JNK is involved in APP processing and tau accumulation. Ultimately, JNK is involved in gene expression regulation and nuclear signaling mediated by the activation of the c-Jun transcription factor. Understanding the specific cellular mechanisms responsible for the wide involvement of the ER in AD will bring us one step closer toward the development of more effective therapeutic tools for AD.

**Acknowledgments** This work was supported by grants PTDC/BIA-BCM/67922/2006 and PTDC/SAU-NMC/117877/2010 from Fundação para a Ciência e a Tecnologia (FCT), Lisbon, Portugal. RJSV and AFN were recipients of PhD and postdoctoral fellowships SFRH/BD/30467/2006 and SFRH/BPD/34603/2007, respectively, from FCT.

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